

Attorney's Docket No.: 42P17910

Patent

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:)	
)	
Liu et al.)	
)	
Application No.: 10/713,879)	Examiner: Chiem, Dinh D.
)	
Filed: November 13, 2003)	Art Unit: 2883
)	
Title: METHOD AND APPARATUS FOR)	
DUAL TAPERING AN OPTICAL)	
WAVEGUIDE)	
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Mail Stop Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

RESPONSE AND AMENDMENT UNDER 37 C.F.R. §§1.111 AND 1.121

Sir:

In response to the Office Action mailed February 28, 2006, Applicant submits the following Amendment and Remarks. Claim Amendments begin on page 2 of this paper. Remarks begin on page 9 of this paper. Applicants respectfully request that the Examiner reconsider the application.

It is not believed that extensions of time are required beyond those that may otherwise be provided for in documents accompanying this paper. However, if additional extensions of time are necessary to prevent abandonment of this application, then such extensions of time are hereby petitioned under 37 C.F.R. §1.136(a). Any fees required therefore are hereby authorized to be charged to Deposit Account No. 02-2666. Please credit any overpayment to the same deposit account.

Kindly enter the following Amendments and consider the following Remarks.



1PW

Attorney's Docket No.: 42P17910

Patent

In re the Application of: Liu et al.

(inventor(s))

Application No.: 10/713,879

Filed: November 13, 2003

For: METHOD AND APPARATUS FOR DUAL TAPERING AN OPTICAL WAVEGUIDE

(title)

Mail Stop Amendment
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450

SIR: Transmitted herewith is a **Response and Amendment** for the above-referenced application.

Applicant claims small entity status. See 37 CFR 1.27.

☒ **No additional fee is required.**

The fee has been calculated as shown below:

	(Col. 1)		(Col. 2)	(Col. 3)
	Claims Remaining After Amd.		Highest No. Previously Paid For	Present Extra
Total Claims	* 27	Minus	** 27	0
Indep. Claims	* 4	Minus	*** 4	0
	First Presentation of Multiple Dependent Claim(s)			

* If the entry in Col. 1 is less than the entry in Col. 2, write "0" in Col. 3.

** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 20, write "20" in this space.

*** If the "Highest No. Previously Paid For" IN THIS SPACE is less than 3, write "3" in this space. The "Highest No. Previously Paid For" (Total or Independent) is the highest number found from the equivalent box in Col. 1 of a prior amendment or the number of claims originally filed.

SMALL ENTITY	
Rate	Additional Fee
X25	\$
X100	\$
+180	\$
Total Add. Fee	\$

OTHER THAN A SMALL ENTITY	
Rate	Additional Fee
X50	\$ 0
X200	\$ 0
+360	\$
Total Add. Fee	\$ 0

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail with sufficient postage in an envelope addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450

on May 30, 2006
Date of Deposit

Yuko Tanaka
Name of Person Mailing Correspondence

Y. Tanaka
Signature

May 30, 2006
Date

_____ A check in the amount of \$_____ is attached for presentation of additional claim(s).

_____ Applicant(s) hereby Petition(s) for an Extension of Time of _____ month(s) pursuant to 37 C.F.R. § 1.136(a).

_____ A check for \$_____ is attached for processing fees under 37 C.F.R. § 1.17.

_____ Please charge my Deposit Account No. 02-2666 the amount of \$_____.

A duplicate copy of this sheet is enclosed.

X The Under Secretary of Commerce for Intellectual Property and Director of the United States Patent and Trademark Office is hereby authorized to charge payment of the following fees associated with this communication or credit any overpayment to Deposit Account No. 02-2666 (**a duplicate copy of this sheet is enclosed**):

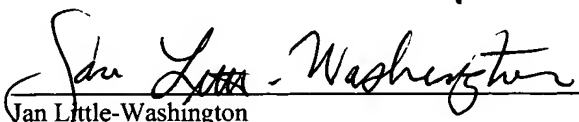
X Any additional filing fees required under 37 C.F.R. § 1.16 for presentation of extra claims.

X Any extension or petition fees under 37 C.F.R. § 1.17.

BLAKELY SOKOLOFF TAYLOR & ZAFMAN LLP

Date: 5/30/2006

12400 Wilshire Boulevard
Seventh Floor
Los Angeles, California 90025
(206) 292-8600



Jan Little-Washington
Reg. No. 41,181